

Audit and Standards Committee

Monday, 14 October 2019

2.00 pm

Oak Room, County Buildings, Stafford

John Tradewell
Director of Corporate Services
4 October 2019

A G E N D A

1. **Apologies**
2. **Declarations of Interest**
3. **Minutes of the meeting held on 30 July 2019** (Pages 1 - 12)
4. **Local Government Social Care Ombudsman (LGSCO) Investigation resulting in a Formal Report** (Pages 13 - 26)
Report of the Director of Health and Care
5. **Report to those Charged with Governance (ISA 260)** (To Follow)
Report of Ernst & Young
6. **Annual Audit Letter 2018/19** (To Follow)
Report of Ernst & Young
7. **Code of Conduct** (Pages 27 - 52)
Report of the Director of Corporate Services (Monitoring Officer)
8. **National Fraud Initiative (NFI) 2018 - Update** (Pages 53 - 66)
Report of the County Treasurer
9. **Forward Plan** (Pages 67 - 78)
10. **Exclusion of the Public**

The Chairman to move:

“That the public be excluded from the meeting for the following items of business which involve the likely disclosure of exempt information as defined in the paragraphs of Part 1 of Schedule 12A (as amended) of the Local Government Act 1972 as indicated below

PART TWO

(reports in this section are exempt)

11. **Exempt Minutes of the meeting held on 30 July 2019** (Pages 79 - 84)
12. **SEND Joint Inspection - Initial Review - Final Position Statement 2019/20** (Pages 85 - 100)

Report of the County Treasurer
13. **Update on Cyber Security Essentials Audit** (Pages 101 - 104)

Report of the Director of Corporate Services
14. **Prisons and Approved Premises Assessment and Care Management - Special Investigation Update** (Pages 105 - 138)

Report of the Director of Health and Care
15. **Questions arising from reports circulated outside the Agenda**
 - a) Review Requested by the Chair of the Committee - Fixed Assets Register, Substantial Assurance

Verbal Report of the County Treasurer

Membership

Derek Davis, OBE	Jill Hood
Michael Greatorex	Paul Northcott
Martyn Tittley (Chairman)	Susan Woodward
Colin Greatorex	Alastair Little
Carolyn Trowbridge (Vice-Chairman)	Ann Edgeller
Ross Ward	Jonathan Price
Bernard Williams	David Williams
Victoria Wilson	

Note for Members of the Press and Public

Filming of Meetings

The Open (public) section of this meeting may be filmed for live or later broadcasting or other use, and, if you are at the meeting, you may be filmed, and are deemed to have agreed to being filmed and to the use of the recording for broadcast and/or other purposes.

Recording by Press and Public

Recording (including by the use of social media) by the Press and Public is permitted from the public seating area provided it does not, in the opinion of the chairman, disrupt the meeting.